2874

CERTIFICATE OF MAILING BY U.S. FIRST CLASS MAIL

§1.97-198 and Form PTO-1449, pursuant to 37 C.F.R. §1.8, are being deposited as U.S. First Class Mail this date with the United States Postal Service in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: July 25, 2003

Signature of person depositing as First Class Mail

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-- PATENT APPLICATION --

Applicant(s): Suresh Golwalkar et al.

Attorney Docket No.:

45706.0400

Serial No.:

10/056,757

Group Art Unit:

2874

Filed:

January 23, 2002

Examiner:

To Be Assigned

TITLE:

APPARATUS FOR COUPLING AN Confirmation No.:

2757

OPTOELECTRONIC DEVICE TO A

FIBER OPTIC CABLE AND A MICROELECTRONIC DEVICE, A

SYSTEM INCLUDING THE

APPARATUS, AND A METHOD OF

FORMING THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. One copy of the cited documents are submitted herewith. Applicants respectfully submit that all pending claims are patentable over the foregoing references. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the documents as a competent reference against the claims of the present application.

Furthermore, the submission of this Supplemental Information Disclosure Statement is not to be

Furthermore, the submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

No fee is due under 37 C.F.R. §1.17(p) for this Supplemental Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits. Nevertheless, the Assistant Commissioner is hereby authorized to charge any fees which may be found to be required for this Supplemental Information Disclosure Statement to Deposit Account No. 19-2814, for which purpose a duplicate copy of this sheet is attached.

Respectfully submitted,

By: (

Cynthia L. Pillote Reg. No. 42,999

Enclosures:

PTO-1449

Copy of Cited References

SNELL & WILMER, L.L.P.

One Arizona Center 400 East Van Buren Phoenix, AZ 85004-2202 (602) 382-6296 (602) 382-6070 - Facsimile The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the documents as a competent reference against the claims of the present application.

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INF	ORMATION	DI	SCLOSURE	Filing Date	January 23, 2002			
ST	ATEMENT B	YA	APPLICANT	First Named Inventor	Suresh Golwalkar			
			_	Art Unit	2874			
	(use as many shee	ets as	s necessary)	Examiner Name	To Be Assigned			
Sheet	1	of	2	Attorney Docket Number	45706.0400			

Sne	et		1	of		2		Attorney Do	cket Number	45706.0400		
						U.	S. PAT	ENT DOCUM	ENTS			
Examiner Initials [*]	Cite	Do	cument	Number	Pub	Publication Date		Name	of Patentee or		iges, Columns, Lines, When	
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		US- 5,475,215			12-12-1995			Hsu				
		US- 5,805,756			09-08-1998			Burghardt et	al.			
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Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known						
Application Number	10/056,757					
Filing Date	January 23, 2002					
First Named Inventor	Suresh Golwalkar					
Group Art Unit	2874					
Examiner Name	To Be Assigned					
Attorney Docket Number	45706.0400					

	_	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		UCHIDA, Teiji and MIKAMI, Osamu; Optical Surface Mount Technology; Invited Paper; IEICE Trans. Electron., Vol. E30-C, 1 January 1997; Pgs. 81-87	
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¹ Applicant's unique citation designation number (optional).
² Applicant is to place a check mark here if English language Translation is attached.